

# Notice of Allowability

Application No.

09/976,001

Examiner

Lynette T. Umez-Eronini

Applicant(s)

UCHIDA ET AL.

Art Unit

1765

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 6/30/2004.
2. ☒ The allowed claim(s) is/are 3 and ~~6~~ 6-13
3. ☒ The drawings filed on 10.15.01 are accepted by the Examiner.
4. ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) ☒ All b) ☐ Some\* c) ☐ None of the:
    1. ☐ Certified copies of the priority documents have been received.
    2. ☒ Certified copies of the priority documents have been received in Application No. 09/763,891.
    3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
  6. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
    - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
      - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
    - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
7. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

## Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☒ Information Disclosure Statements (PTO-1449 or PTO/SB/08),  
Paper No./Mail Date 6/30/2004 + 9/4/2003
4. ☐ Examiner's Comment Regarding Requirement for Deposit  
of Biological Material
5. ☐ Notice of Informal Patent Application (PTO-152)
6. ☐ Interview Summary (PTO-413),  
Paper No./Mail Date \_\_\_\_\_
7. ☐ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other \_\_\_\_\_

NADINE G. NORTON  
SUPERVISORY PATENT EXAMINER

*Norton*

**REASON FOR ALLOWANCE**

1. Claims 3 and 6-13 are allowed.
2. The following is an examiner's statement of reasons for allowance:

As to claims 3 and 6-9, no prior art teaches nor render it obvious wherein said additive is a combination of a first material that is an oxidizer, a second material that dissolves an oxide of a metal, and another protective film-forming agent that (a) has properties different from those of a protective film-forming agent and (b) exhibits an effect of controlling the etching rate to not more than 10 nm/minute without incorporating said protective film-forming agent, said protective film-forming agent and said another protective film-forming agent together controlling etching rate, while maintaining chemical mechanical polishing rate of the metal film surface, to be the polishing rate of at least 100 nm/minute and the etching rate of not more than 10 nm/minute, in combination with the other limitations of said claims; and

As to claims 10-13, the affidavit under 37 CFR 1.132 filed 10/16/03 is sufficient to overcome the 102 rejection of claims 10-13 based upon applicant establishing and providing evidence that Sasaki's (US 5,770,095) aminoacetic and/or amidosulfuric acids do not qualify as agents corresponding to the e.g., second protective film-forming agent according to the present invention. Furthermore, no prior art teaches nor render it obvious to combine a second protective film-forming agent having properties different from those of the first protective agent, wherein a combination of the first protective film-forming agent

Art Unit: 1765

and the second protective film-forming agent controls etching rate, while maintaining chemical mechanical polishing rate of the metal, in combination with the other limitations of the said claims.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lynette T. Umez-Eronini whose telephone number is 571-272-1470. The examiner is normally unavailable on the First Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nadine Norton can be reached on 571-272-1465. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Art Unit: 1765

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

ltue

July 21, 2004

NADINE G. NORTON  
SUPERVISORY PATENT EXAMINER  
